

Docket: 02-465

IFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert E. Richard et al.
Application No.: 10/632,054
Date Filed: 07/31/2003
Title: IMPLANTABLE OR INSERTABLE MEDICAL DEVICES CONTAINING
RADIATION-TREATED POLYMER FOR IMPROVED DELIVERY OF
THERAPEUTIC AGENT
Group Art Unit: 3736
Confirmation No.: 9964
Attorney Docket No.: 02-465

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (IDS)

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SIR:

In accordance with 37 C.F.R. §1.56 and in compliance with 37 C.F.R. §§1.97 and 1.98, the references listed on attached Form PTO/SB/08 and/or subsequently identified herein, are being submitted herewith for consideration by the United States Patent and Trademark Office.

I. COPIES

- a. A legible copy of (i) each U.S. and foreign patents; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed, is included herewith.
- b. Any patents, publications or other information which are listed on PTO/SB/08 which are not enclosed herewith were previously cited by or submitted to the PTO in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. §120:

U.S. Serial Number

U.S. Filing Date

II. CONCISE EXPLANATION OF THE RELEVANCE (check at least one box)

- a. Except as may be indicated below in (b) of this section, all of the patents, publications or other information are in the English language (concise explanation not required).
- b. A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:
- c. The following additional information is provided for the Examiner's consideration:

III. CROSS REFERENCE TO RELATED APPLICATION(S)

The Examiner is advised that the following co-pending application(s) contain(s) subject matter that may be related to the present application. By bringing this (these) applications to the Examiner's attention, Applicant(s) does(do) not waive the confidentiality provisions of 35 U.S.C. §122.

Serial No.

Filing Date

Art Unit

FEES

IV. **THIS IDS IS BEING FILED UNDER 37 C.F.R. §1.97(b):** (check one box)

a. within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d) (37 C.F.R. §1.97(b)(1)). No fee or statement is required.

b. within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. §1.97(b)(2)). No fee or statement is required.

c. before the mailing date of a first Office Action on the merits (37 C.F.R. §1.97(b)(3)). No fee or statement is required.

d. before the mailing date of a first Office Action after the filing of a request for continued examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required.

V. **THIS IDS IS BEING FILED UNDER 37 C.F.R. §1.97(c):** (check one box)

before the mailing date of any of a Final Office Action under 37 C.F.R. §1.113, a Notice of Allowance under 37 C.F.R. §1.311, or an action that otherwise closes prosecution in the application (See 37 C.F.R. §1.97(c)).

a. No statement; therefore, charge deposit account 50-1047 the fee set forth in 37 C.F.R. §1.17(p).

b. See the statement below. No fee is required.

VI. **THIS IDS IS BEING FILED UNDER 37 C.F.R. §1.97(d):**
on or before payment of the issue fee and is accompanied by the following:

- 1) a statement under 37 C.F.R. §1.97(e) as provided below; and
- 2) charge deposit account 50-1047 the petition fee set forth in §1.17(p).

VII. **STATEMENT UNDER 37 C.F.R. §1.97(e) (check only one box, if applicable)**
The undersigned hereby states that

a. each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of IDS; or

b. no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application, and to knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement, or

c. some of the items of information contained in the IDS were cited in a communication from a foreign Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the IDS was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

VIII. **PAYMENT OF FEES**

A check in the amount of _____ is enclosed for the above-identified fee(s).

Please charge Deposit Account No. 50-1047 in the amount of \$180.00 for the above-indicated fee(s).

If Applicant has overlooked any additional fees, or if any overpayment has been made, the Commissioner is hereby authorized to credit or debit Deposit Account 50-1047.

Two Copies of this paper are attached for Deposit Account charges and debits.

It is Applicant(s)' opinion that the claims presently on file patently distinguish the present invention from each of these references. The above references are being cited only in the interests of candor and without any admission that they constitute statutory prior art or contain matter which anticipates the invention or which would render the same obvious, either singly or in a combination, to a person of ordinary skill in the art.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule (with a petition if necessary) and charge the appropriate fee to Deposit Account No. 50-1047.

Respectfully submitted,



Keum J. Park
Attorney for Applicant(s)
Reg. No. 42,059
Tel.: 908-518-7700
FAX: 908-518-7795

MAYER FORTKORT & WILLIAMS
Customer Number 27774

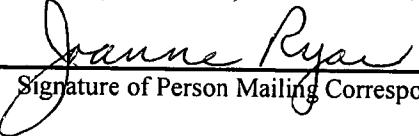
Enclosures: PTO/SB/08
 References
 Foreign Search Report
 Other:

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited

3/17/05 with the U.S. Postal Service as first class mail

under 37 C.F.R. 1.8 and is addressed to the Mail Stop Amendment, Commissioner
for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Signature of Person Mailing Correspondence

Joanne Ryan

Typed or Printed Name of Person Mailing Certificate



Docket No.: 02-465

Please type a plus sign (+) inside this box. FORM PTO/SB/08

~~Substitute for form 1449A/PTO~~

**SUPPLEMENTAL
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Shee

1

1

1

<p>Substitute for form 1449A/PTO</p> <p>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p>(use as many sheets as necessary)</p> <p>Sheet 1 of 2</p>				<p><i>Complete if Known</i></p> <table border="1"> <tr> <td>Application Number</td> <td>10/632,054</td> </tr> <tr> <td>Filing Date</td> <td>07/31/2003</td> </tr> <tr> <td>First Named Inventor</td> <td>Robert E. Richard</td> </tr> <tr> <td>Group Art Unit</td> <td>3736</td> </tr> <tr> <td>Confirmation No.</td> <td>9964</td> </tr> <tr> <td>Examiner Name</td> <td>Unassigned</td> </tr> <tr> <td>Attorney Docket Number</td> <td>02-465</td> </tr> </table>		Application Number	10/632,054	Filing Date	07/31/2003	First Named Inventor	Robert E. Richard	Group Art Unit	3736	Confirmation No.	9964	Examiner Name	Unassigned	Attorney Docket Number	02-465
Application Number	10/632,054																		
Filing Date	07/31/2003																		
First Named Inventor	Robert E. Richard																		
Group Art Unit	3736																		
Confirmation No.	9964																		
Examiner Name	Unassigned																		
Attorney Docket Number	02-465																		

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T
		Office ³	Number	Class/Subclass			
/AH/	1.	WO	96/03147	A61K 41/00	Fidia Advanced Biopolymers, S.R.L.	02/08/1996	
/AH/	2.	EP	0879595 A2	A61K 9/00	Schneider (USA) Inc.	11/25/1998	
/AH/	3.	WO	00/59516	A61K 31/715	Fziomed, Inc.	10/12/2000	
/AH/	4.	WO	02/47731A2	A61L	Scimed Life Systems, Inc.	06/20/2002	
/AH/	5.	WO	97/10011	A61L 27/00	Schneider (USA) Inc.	03/20/1997	
Examiner Signature	/Alicia Hughes/				Date Considered	05/10/2009	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation, if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English Language Translation is attached.

<p>Substitute for form 1449A/PTO</p> <p>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</p> <p><i>(use as many sheets as necessary)</i></p>				<i>Complete if Known</i>	
Sheet	2	of	2	Application Number	10/632,054
				Filing Date	07/31/2003
				First Named Inventor	Robert E. Richard
				Group Art Unit	3736
				Confirmation No.	9964
				Examiner Name	Unassigned
				Attorney Docket Number	02-465

Examiner Signature	/Alicia Hughes/	Date Considered	05/10/2009
-----------------------	-----------------	--------------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation, if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English Language Translation is attached.